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**UNITED STATES PATENT APPLICATION TRANSMITTAL FORM**

11031 U.S. PTO  
04/30/01

**BOX PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Docket No.: 341.6910USU

09/845549  
U.S. PTO  
04/30/01

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Anthony Mark Pasqualoni, Deepak Mahulikar, Larry A. LaFollette  
and Richard J. Jenkins

For: A CHEMICAL MECHANICAL POLISHING SLURRY  
COMPOSITION FOR POLISHING CONDUCTIVE AND NON-  
CONDUCTIVE LAYERS ON SEMICONDUCTOR WAFERS

Enclosed are:

XXX Specification (19 pps.) consisting of: Description (13 pps); Claims (5 pps);  
Abstract (1 pp);

\_\_\_\_\_ sheets of drawings;

XXX Declaration and Power of Attorney;

XXX ✓ An assignment of the invention: **Assignee Name: ARCH SPECIALTY  
CHEMICALS, INC.** **Assignee Residence: NORWALK, CONNECTICUT,**  
including a \$160.00 recordation fee and Assignment Recordation Form  
Cover Sheets;

\_\_\_\_\_ Information Disclosure Statement (with a copy of a patent);

\_\_\_\_\_ Form - PTO-1449;

\_\_\_\_\_ The undersigned attorney has verified that the application is entitled to a  
Small Entity Status; and

\_\_\_\_\_ Priority of U.S. Provisional Application Serial No., filed on \_\_\_\_\_ is  
claimed under 35 U.S.C. §119(e);

\_\_\_\_\_ A Request and Certification under 35 U.S.C. 122(b)(2)(B)(i);

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	31 - 20 =	11	x \$18.00	\$198.00
Independent Claims	4 - 3 =	1	x \$80.00	\$80.00
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$988.00</b>		

1/2 FILING FEE FOR SMALL ENTITY	\$0.00
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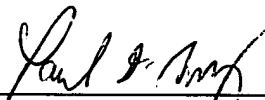
\_\_\_\_\_ No fee enclosed – filing by missing parts.

**XXX** A check in the amount of \$ 1,148.00 for the filing fee (\$988) and assignment recordal fees (\$160.00) is enclosed.

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

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April 30, 2001  
Date of Signature




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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL754408052US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on April 30, 2001.

Victoria E. Roeser  
(Typed name of person mailing paper)



(Signature of person mailing paper)